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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/661,927	
	Filing Date	September 14, 2000	
	First Named Inventor	Barrett, Ronald W.	
	Group Art Unit	1627	
	Examiner Name	Tizio, Steven C.	
Total Number of Pages in This Submission	1	Attorney Docket Number	019282-000110US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm and Individual name	Townsend and Townsend and Crew LLP Scott L. Aussenhus Reg. No. 42,271	
Signature		
Date	6-6-02	

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Typed or printed name	Maisie C. Livengood	
Signature		Date June 6, 2002

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DE 7072691 v1

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Initials: SLA/mcl

Date: June 6, 2002

PTO Stamp indicates receipt of: ☒ Patent Matter

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Application Docket No.: 19282-000110US

Applicant: Dower et al.

Title or Mark: Substrates and Screening Methods for Transport Proteins

Serial/Reg. No.: 09/661,927

Filed/Issued Date: September 14, 2000

___ No. of Pages in Specification

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LIST ALL DOCUMENTS BEING SENT TO PATENT OFFICE:

1. Transmittal Form
2. Supplemental Information Disclosure Statement
3. Forms PTO/SB/08A and 08/B
4. copies of 17 cited references

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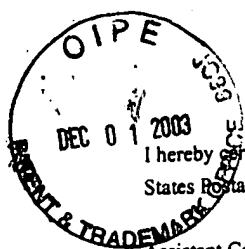
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PATENT
Attorney Docket No.: 019282-000110US

On June 6, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Maisie C. Livengood
Maisie C. Livengood

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William J. Dower, et al.

Application No.: 09/661,927

Filed: September 14, 2000

For: SUBSTRATES AND
SCREENING METHODS FOR
TRANSPORT PROTEINS

Examiner: Tizio, Steven C.

Art Unit: 1627

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner

William J. Dower, et al.
Application No.: 09/661,927
Page 2

PATENT

is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



Scott L. Ausenhus
Reg. No. 42,271

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/661,927
Filing Date	September 14, 2000
First Named Inventor	Barrett, Ronald W.
Art Unit	1627
Examiner Name	Tizio, Steven C.
Attorney Docket Number	019282-000110US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	5,514,548		05-07-1996	Krebber et al.	
	AB	5,607,691		03-04-1997	Hale et al.	
	AC	5,719,043		02-17-1998	Frommer	
	AD	5,750,362		05-12-1998	Frommer et al.	
	AE	5,759,788		06-02-1998	Freneau, Jr. et al.	
	AF	5,780,264		07-14-1998	Wessling-Resnick et al.	
	AG	5,837,500		11-17-1998	Ladner et al.	
	AH	5,866,123		02-02-1999	MacLeod	
	AI	5,902,609		05-11-1999	Lee	
	AJ	5,932,424		08-03-1999	Amara et al.	
	AK	6,057,098		05-02-2000	Buechler et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	AL	PCT	WO 97/17614		05-15-1997	Elan Corporation		
	AM	PCT	WO 98/51325		11-19-1998	Cytogen Corporation		
	AN	PCT	WO 98/51825		11-19-1998	Elan Corporation		

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Applicati n Numb r	09/661,927
Filing Date	September 14, 2000
First Named Inventor	Barrett, Ronald W.
Art Unit	1627
Examiner Name	Tizio, Steven C.
Attorney Docket Number	019282-000110US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AO	copy of PCT International Search Report for PCT/US00/25439	
	AP	copy of Written Opinion for PCT/US00/25439	
	AQ	copy of International Preliminary Examination Report for PCT/US00/25439	

Examiner
SignatureDate
Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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